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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Julio C. Palmaz and ) Dkt. No.: JOH2748P0044US  
Richard A. Schutz )  
 )  
Serial No.: 10/689,579 ) Group Art No.: 3738  
 )  
Filed: 10-20-2003 ) Examiner: Paul Prebilic  
 )  
Title: Expandable Intraluminal Graft, and )  
Method and Apparatus for )  
Implementing an Intraluminal Graft )

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.98, the art identified in the appended documents and other information and matters discussed below may be helpful to the U.S. Patent and Trademark Office in its consideration of the above-identified application.

Enclosed is a check in the amount of \$180.00. If any additional fees are required in connection with this Information Disclosure Statement, the Commissioner is authorized to charge Deposit Account No. 23-0785.

U.S. Patent No. 5,195,984 discloses and claims inventions that in certain respects are similar to the inventions disclosed and claimed in this reissue application. This patent has the same inventive entity and the same assignee as this reissue application.

U.S. Patent No. 5,195,984 is the subject of Reexamination Control No. 90/007,628. In this reexamination, the Requester asserts that at least claim 1 is unpatentable over U.S. Patent No. 4,739,762 ("Palmaz") in view of the Wallace or

Charnsongovej articles (“the connected z-stent art”) and claim 3 is unpatentable over Palmaz in view of the connected z-stent art and further in view of U.S. Patent No. 4,969,458 (“Wiktor”). In the Order granting the request, the Examiner indicated that the connected z-stent art raises a new question of patentability as to claim 1 and Wiktor in combination with other prior art raises a new question of patentability as to claim 3. The Examiner is requested to consider the Request for Ex-Parte Reexamination document and the Order Granting/Denying Request for Ex-Parte Reexamination document.

There has not been a substantive Office Action in that reexamination proceeding. However, Patent Owner has recently filed a Submission Pursuant to 37 C.F.R. 1.565(a) document and an Information Disclosure Statement document, copies of which are respectively appended hereto in Exhibits A and B hereto. Appended hereto in Exhibit C is a Form PTO-1449 which incorporates the relevant references set forth in the PTO-1449s from the previously filed Information Disclosure Statements referred to in Exhibit B hereto. Also appended hereto for the Examiner’s convenience is a copy of all the materials identified on the Form PTO-1449 that have not already been indicated as having been considered. The Examiner is requested to indicate that the information contained herein has been considered during the prosecution of this application by providing an initial in the left hand column next to each reference or document.

As indicated in the paragraph that spans pages 5 and 6 of Exhibit B hereto, there have been assertions made as to the unenforceability of the ’417 patent. These assertions have been based on the alleged withholding of certain connected z-stent art and the Wiktor patent, and certain alleged misrepresentations of the prior art during the original

prosecution of the '417 patent. The Examiner's attention is invited to the various materials identified in the above-identified paragraph in Exhibit B hereto.

The Examiner's particular attention is invited to the alleged withheld prior art as follows:

1. U.S. Patent No. 4,969,458 to Wiktor (Wiktor Patent)
2. U.S. Patent No. 4,580,468 to Cesare Gianturco (Gianturco Patent)
3. Wright et al., Article: "Percutaneous Endovascular Stents: An Experimental Evaluation" Radiology, Vol. 156, pgs. 69-72, 1985. (FR)
4. Duprat, et al., Article: "Flexible Balloon- Expanded Stent for Small Vessels Work in Progress1 ", Radiology, Vol.162, pgs. 276-278, 1987. (FL)
5. J. Rösch et al., Experimental Intrahepatic Portacaval Anastomosis: Use of Expandable Gianturco Stents, Radiology, Vol. 162, pgs. 481-485, 1987. (GI)
6. Lawrence et al., Percutaneous Endovascular Graft: Experimental Evaluation, Radiology, Vol. 163, pgs. 357-360, 1987. (DN)
7. J. Rösch et al., Modified Gianturco Expandable Wire Stents In Experimental and Clinical Use, Ann Radiol, Vol. 31, No. 2, pgs. 100-103, 1987. (GJ)
8. J. Rösch et al., Gianturco Expandable Stents In the Treatment of Superior Vena Cava Syndrome Recurring After Maximum Tolerance Radiation, Cancer, Vol. 60, pgs. 1243-1246, 1987. (GK)
9. J. Rösch et al., Gianturco Expandable Stents in Experimental and Clinical Use, Program: "Twelfth Annual Course on Diagnostic Angiography and Interventional Radiology" (Society of Cardiovascular and Interventional Radiology, Pittsburgh, PA) March 23-26, 1987. (GZ)
10. J. Rösch et al., Abstract, Expandable Gianturco-Type Wire Stents in Experimental Intrahepatic Portacaval Shunts, Program: "72<sup>nd</sup> Scientific Assembly and Annual Meeting of the Radiological Society of North America", November 30 – December 5, 1986, Radiology, Vol. 161, pgs. 40-41, 1986. (JF)

11. Yoshioka et al., Article: "Self-Expanding Encovascular Graft: An Experimental Study in Dogs" AJR, Vol. 151, pgs. 673-676, 1988. (FF)

The Examiner's attention is further invited to page 76 of the Palmaz et al. article entitled "Expandable Intraluminal Graft: A Preliminary Study", Radiology 1985, wherein it is indicated that the Palmaz grafts could be used "in tandem".

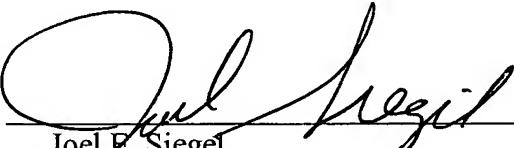
Identified as items JG to JM on the Form PTO-1449 are recent Court Orders and Opinions concerning U.S. Patent Nos. 5,102,417, 5,195,984, 5,902,332 and 4,739,762, copies of which are appended hereto.

If the Examiner is unable to locate any of the documents identified on the Form PTO-1449, Applicants' attorney requests that the Examiner call him to provide a copy of any such document before issuing the next Office Action.

Respectfully submitted,

Date: 4/6/2006

By:

  
Joel E. Siegel  
Reg. No. 25,440

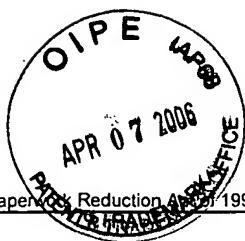
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500 West Madison Street, Suite 3800  
Chicago, Illinois 60661  
(312) 876-1800

Attorneys for Applicant

CERTIFICATE OF HAND DELIVERY

I hereby certify that this Information Disclosure Statement, and any document referred to as being enclosed, is being filed via Hand Delivery to the PTO Customer Service Window on April 7, 2006.

  
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Somchay Chinyavong



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Comparable to Form PTO/SB/08A (08-03)  
Approved for use through 07/31/2006 OMB 0651-0031

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO				Application Number	10/689,579	
				Filing Date	October 20, 2003	
				First Named Inventor	Julio C. Palma	
				Group Art Unit	3738	
				Confirmation No.	2120	
				Examiner Name	Paul B. Prebilic	
Sheet		1	of	33	Attorney Docket No.	02748P0044US

#### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 861,659	07/30/07	Johnston	
		US- 3,599,641	08/17/91	Sheridan	
		US- 3,657,744	04/25/72	Ersek	
		US- 3,744,596	07/10/73	Sander	
		US- 3,968,800	07/17/76	Vilasi	
		US- 4,076,285	02/28/78	Martinez	
		US- 4,299,226	11/10/81	Banka	
		US- 4,300,244	11/17/81	Bokros	
		US- 4,323,071	04/06/82	Simpson et al.	
		US- 4,390,599	06/28/83	Broyles	
		US- 4,503,569	03/12/85	Dotter	
		US- 4,512,338	04/23/85	Balko et al.	
		US- 4,550,447	11/05/85	Seiler, Jr. et al.	
		US- 4,553,545	11/19/85	Maass et al.	
		US- 4,560,374	12/24/85	Hammerslag	
		US- 4,562,596	01/07/86	Kronberg	
		US- 4,580,568	04/08/86	Gianturco	
		US- 4,655,771	04/07/87	Wallsten	
Examiner Signature				Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standards ST.16, if possible. <sup>6</sup> Applicant is to place a checkmark here if English language Translation is attached.

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		US- 4,676,241	06/30/87	Webb et al.	
		US- 3,279,996	10/18/66	Long et al.	
		US- 3,526,005	09/01/70	Bokros	
		US- 3,932,627	01/13/76	Margraf	
		US- 3,948,254	04/06/76	Zaffaroni	
		US- 3,952,334	04/27/76	Bokros et al.	
		US- 4,069,307	01/17/78	Higuchi et al.	
		US- 4,292,965	10/06/81	Nash	
		US- 4,312,920	01/26/82	Pierce et al.	
		US- 4,321,711	03/30/82	Mano	
		US- 4,565,740	01/21/86	Golander et al.	
		US- 4,613,665	09/23/86	Larm	
		US- 4,642,111	02/10/87	Sakamoto et al.	
		US- 4,656,083	04/07/87	Hoffman et al.	
		US- 4,678,466	07/07/87	Rosenwald	
		US- 4,687,482	08/18/87	Hanson	
		US- 4,689,046	08/25/87	Bokros	
		US- 4,740,207	04/26/88	Kreamer	
Examiner Signature					Date Considered

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Substitute for form 1449A/PTO					Application Number	10/689,579
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>					Filing Date	October 20, 2003
					First Named Inventor	Julio C. Palmaz
					Group Art Unit	3738
					Confirmation No.	2120
					Examiner Name	Paul B. Prebilic
Sheet	3	of	33	Attorney Docket No.	02748P0044US	

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		Number - Kind Code <sup>2</sup> (if known)			
		US- 4,731,054	03/15/88	Billeter et al.	
		US- 4,733,665	03/29/88	Palmaz	
		US- 4,739,762	04/26/88	Palmaz	
		US- 4,760,849	08/02/88	Kropf	
		US- 4,776,337	10/11/88	Palmaz	
		US- 4,787,899	11/29/88	Lazarus	
		US- 4,800,882	01/31/89	Gianturco	
		US- 4,856,516	08/15/89	Hillstead	
		US- 4,969,458	11/13/90	Wiktor	
		US- 4,998,923	03/12/91	Samson et al.	
		US- 5,102,417	04/07/92	Palmaz	
		US- 5,135,536	08/04/92	Hillstead	
		US- 4,753,625	06/28/88	Langer et al.	
		US- 4,768,507	09/06/88	Fischell et al.	
		US- 4,810,784	03/07/89	Larm	
		US- 4,872,867	10/10/89	Joh	
		US- 4,916,193	04/10/90	Tang	
		US- 4,886,062	12/12/89	Wiktor	
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		Number - Kind Code <sup>2</sup> (if known)			
		US- 4,994,298	02/19/91	Yasuda	
		US- 5,019,090	05/28/91	Pinchuk	
		US- 5,019,096	05/28/91	Fox et al.	
		US- 5,029,877	07/09/91	Fedeli et al.	
		US- 5,034,265	07/23/91	Hoffman et al.	
		US- 5,053,048	10/01/91	Pinchuk	
		US- 5,049,403	09/17/91	Larm et al.	
		US- 5,059,166	10/22/91	Fischell et al.	
		US- 5,133,732	07/28/92	Wiktor	
		US- 5,182,317	01/26/93	Winters et al.	
		US- 5,213,898	05/25/93	Larm et al.	
		US- 5,226,913	07/13/93	Pinchuk	
		US- 5,262,451	11/16/93	Winters et al.	
		US- 5,338,770	08/16/94	Winters et al.	
		US- 5,383,928	01/24/95	Scott et al.	
		US- 5,474,563	12/12/95	Myler	
		US- 5,512,055	04/30/96	Domb et al.	
		US- 5,545,208	08/13/96	Wolff et al.	
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		Number - Kind Code <sup>2</sup> (if known)			
		US- 5,591,227	01/07/97	Dinh et al.	
		US- 5,624,411	04/29/97	Tuch	
		US- 5,877,224	03/02/99	Broccolini et al.	
		US- 6,120,536	09/19/00	Ding et al.	
		US-			
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				Group Art Unit	3738
				Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	6	of	33	Attorney Docket No.	02748P0044US

## FOREIGN PATENT DOCUMENTS

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standards ST.16, if possible. <sup>6</sup> Applicant is to place a checkmark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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					Examiner Name Paul B. Prebilic
Sheet	7	of	33	Attorney Docket No.	02748P0044US

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
	A	Trial Transcript from November 6, 2000 at 185-90 and 235-36 (Attorneys' opening remarks regarding '984 patent)			
	B	Trial Transcript from November 7, 2000 at 274-301, 307-315, 320-28 and 332 (Cordis expert testimony regarding the Palmaz-Schatz stent); 370-379, 480-496 (J. Palmaz testimony regarding the Palmaz-Schatz stent, the '984 patent and the connected z-stent art)			
	C	Trial Transcript from November 8, 2000 at 547-63, 657-63, 674-722, 782-85 (Cordis expert testimony regarding the Palmaz-Schatz stent, the '984 patent and the connected z-stent art)			
	D	Trial Transcript from November 9, 2000 at 819-23, 921 (Cordis expert testimony regarding the '984 patent); 926-941. (R. Croce testimony re Palmaz-Schatz stent); 1033-1053. (R. Schatz testimony)			
	E	Trial Transcript from November 13, 2000 at 1086-1134. (R. Schatz testimony); 1275-1305 (Cordis expert testimony regarding the '984 patent)			
	F	Trial Transcript from November 14, 2000 at 1390-1404, 1448-1454, 1486-1500 (Cordis expert testimony regarding the '984 patent)			
	G	Trial Transcript from November 15, 2000 at 1686-87, 1724-42, 1828-34, 1850-54, 1887-92 (AVE expert testimony regarding the '984 patent)			
	H	Trial Transcript from November 16, 2000 at 2077-198 (AVE expert testimony regarding the alleged obviousness of the '984 patent)			
	I	Trial Transcript from November 17, 2000 at 2331-34 (jury instructions as to the meaning of the limitations of the claims of the '984 patent)			
Examiner Signature				Date Considered	

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Sheet	<b>8</b>	of	<b>33</b>	Attorney Docket No.	<b>02748P0044US</b>

<b>OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS</b>						
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T <sup>2</sup>
	J	Trial Transcript from November 20, 2000 at 2441-48, 2499-2500, 2546-50, 2552-56 (Attorneys' closing arguments regarding the '984 patent)				
	K	Trial Transcript from November 21, 2000 at 2592-94 (reading of jury verdict)				
	L	Trial Transcript from December 18, 2000 at 2750-95 (Cordis expert testimony regarding the Palmaz-Schatz stent during the damages phase)				
	M	Trial Transcript from December 20, 2000 at 3421-88 (AVE expert testimony regarding the Palmaz-Schatz stent during the damages phase)				
	N	Jury verdict, dated November 21, 2000				
	O	District Court decisions on post-trial motions (194 F. Supp. 2d 323)				
	P	Court of Appeal for the Federal Circuit decision (339 F.3d 1352)				
	Q	Trial Transcript from March 4, 2005 at 133-135, 171-173 and 192-96 (Attorney's opening remarks regarding '984 validity)				
	R	Trial Transcript from March 7, 2005 at 275-311 (Cordis expert testimony regarding the Palmaz-Schatz stent); 342-46, 353-59, 416-425 (J. Palmaz testimony regarding the Palmaz-Schatz stent, the '984 patent and the connected z-stent art); 430-449, 452-58, 462-492 (R. Croce testimony regarding the Palmaz-Schatz stent); 500-507 (Cordis expert testimony regarding the '984 patent)				
	S	Trial Transcript from March 8, 2005 at 609 (Cordis expert testimony regarding the '984 patent); 628-73, 724-740, 773, 801-839 (Cordis expert testimony regarding the '984 patent, the prior art and the Palmaz-Schatz stent)				
	T	Trial Transcript from March 9, 2005 at 936-49, 968-69 (Cordis expert testimony regarding the '984 patent, the prior art and the Palmaz-Schatz stent)				
Examiner Signature				Date Considered		

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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				Application Number	10/689,579
				Filing Date	October 20, 2003
				First Named Inventor	Julio C. Palmaz
				Group Art Unit	3738
				Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	9	of	33	Attorney Docket No.	
02748P0044US					

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
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	U	Trial Transcript from March 10, 2005 at 1427-74, 178-1509, 1514-23 (AVE expert testimony regarding the alleged obviousness of the '984 patent); 1566-93 (AVE expert testimony regarding Palmaz-Schatz stent); 1634-49 (R. Schatz testimony)			
	V	Trial Transcript from March 11, 2005 at 1846-47, 1891-1900, 1919 (Attorneys' closing arguments regarding '984 obviousness)			
	W	Trial Transcript from March 14, 2005 at 1964-67 (reading of jury verdict)			
	X	Jury verdict dated March 14, 2005			
	Y	Medtronic Vascular Inc.'s Opening Brief in Support of Its Motion for Judgment As A Infringement Claim dated April 19, 2005			
	Z	Medtronic Vascular Inc.'s Opening Brief in Support of Its Motion for a New Trial dated April 19, 2005			
	AB	D.I. 1407, Cordis' Combined Answering Brief In Opposition to AVE's Motion for JMOL on Infringement of the Palmaz '762 and Schatz '984 Patents and Its Motion for a New Trial dated May 5, 2005			
	AC	D.I. 1414, Medtronic Vascular Inc.'s Combined Reply Brief In Support of Its Motion for Judgment as a Matter of Law on Cordis Corp.'s Patent Infringement Claims and Its Motion for a New Trial			
	AD	Trial Transcript from February 8, 2001 at 372-412, 449-469 (B. Tobor testimony regarding the prosecution of the '417, '984 and '332 patents); 510-13 (J. Milnamow testimony regarding the prosecution of the '332 patent); 558-604 (J. Palmaz testimony regarding the prosecution of the '417, '984 and '332 patents and the prior art)			
Examiner Signature				Date Considered	

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				First Named Inventor	Julio C. Palmaz
				Group Art Unit	3738
				Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	10	of	33	Attorney Docket No.	02748P0044US

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	AE	Trial Transcript from February 9, 2001 at 637-45, 662-672, 682-85 (J. Palmaz testimony regarding the prior art); 699-742 (R. Schatz testimony); 769-770, 790-95 (Cordis expert testimony regarding prior art)	
	AF	D.I. 1067, Medtronic AVE, Inc.'s Post-Trial Brief Relating to the Unenforceability of the '762 and '984 Patents Due to Inequitable Conduct	
	AG	D.I. 1077, Cordis' Combined Answering Brief in Opposition to AVE's BSC's Post-Hearing Briefs on Alleged Inequitable Conduct Concerning the '762, '984 and '332 Patents	
	AH	D.I. 1089, Reply Brief In Support of Medtronic AVE, Inc.'s Contention that the '762 and '984 Patents are Unenforceable Due to Inequitable Conduct dated May 7, 2001	
	AI	C.A. No. 00-886-SLR, Answer and Counterclaims of Def. Medtronic AVE, Inc. To First Amended Complaint of Plaintiff Cordis Corp.	
	AJ	BSC's Opening Post-Trial Brief in Support of Its Defense That the Patents in Suit Are Unenforceable, dated March 16, 2001	
	AK	Reply Brief in Support of BSC's Defense That the Patents in Suit Are Unenforceable, dated May 7, 2001	
	AL	Court's Decision on allegations of inequitable conduct (194 F. Supp. 2d 323)	
	AM	Trial Transcript from November 21, 2000 at 155-57 and 180-84 (Attorneys' opening remarks regarding '332 patent)	
	AN	Trial Transcript from November 27, 2000 at 227-51, 260-300 (Cordis expert testimony regarding the Palmaz-Schatz stent); 343-60, 363-67, 424-33 (J. Palmaz testimony regarding the Palmaz-Schatz stent and the '332 patent)	
Examiner Signature		Date Considered	

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				Filing Date	October 20, 2003
				First Named Inventor	Julio C. Palmaz
				Group Art Unit	3738
				Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	11	of	33	Attorney Docket No.	02748P0044US

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	AO	Trial Transcript from November 28, 2000 at 649-71			
	AP	Trial Transcript from November 29, 2000 at 791-816, 859-870, 953-62 (Cordis expert testimony regarding the '332 patent and the Palmaz-Schatz stent)			
	AQ	Trial Transcript from November 30, 2000 at 1018 (Cordis expert testimony regarding the '332 patent); 1062-80, 1108-1111 (R. Croce testimony regarding the Palmaz-Schatz stent); 1169-70, 1205-17, 1236-45 (Cordis expert testimony regarding the '332 patent)			
	AR	Trial Transcript from December 1, 2000 at 1352-54 (Cordis expert testimony regarding the '332 patent); 1364-1442 (R. Schatz testimony); 1493-1508, 1552-69 (BSC expert testimony regarding the '332 patent and the Palmaz-Schatz stent)			
	AS	Trial Transcript from December 4, 2000 at 1602-12, 1638-51, 1713-14, 1730-61, 1811-14, 1823-36 (BSC expert testimony regarding the alleged obviousness of the '332 patent, the prior art and the Palmaz-Schatz stent)			
	AT	Trial Transcript from December 6, 2000 at 2318-27, 2342-58 (BSC expert testimony regarding the '332 patent)			
	AU	Trial Transcript from December 7, 2000 at 2549-52 (Cordis expert testimony regarding the '332 patent); 2575-2579, 2591-92, 2630-31, 2649, 2669-71, 2684-85, 2688, 2708-10, 2725-27 (Attorney closing argument regarding '332 patent); 2742-46 (jury instructions as to the meaning of the limitations of the claims of the '332 patent)			
	AV	Trial Transcript from December 11, 2000 at 2817-22 (reading of jury verdict)			
	AW	Jury verdict, dated December 11, 2000			
	AX	District Court decisions on post-trial motions (194 F. Supp. 2d 323)			
Examiner Signature				Date Considered	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	October 20, 2003
(Use as many sheets as necessary)				First Named Inventor	Julio C. Palma
				Group Art Unit	3738
				Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	12	of	33	Attorney Docket No.	02748P0044US

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	AY	D.I. 699, Motion by Defendant BSC and Scimed Life Systems, Inc. For Summary Judgment of Invalidity of U.S. Patent No. 5,902,332 dated April 4, 2000				
	AZ	D.I.896, Order Denying Motion for Summary Judgment of Invalidity and Unenforceability of Claims 1, 3, and 5 of the U.S. Patent No. 5,902,332 Denying {699-1} Motion for Summary Judgment of Invalidity of U.S. Patent No. 5,902,332 dated October 12, 2000				
	BA	Wright et al., Percutaneous Endovascular Stent: An Experimental Study (Abstract), RSNA Meeting (11/28/84)				
	BB	Hearing Transcript from February 10, 1998 at 122-32, 146-80 (Attorneys' opening remarks regarding '417 patent); 180-312 (R. Schatz testimony) [PORTIONS OF THIS TRANSCRIPT HAVE BEEN REMOVED AS CONFIDENTIAL]				
	BC	Hearing Transcript from February 11, 1998 at 427-575, 577-651 (Cordis expert testimony regarding the '417 patent, the prior art and the Palma-Schatz stent)				
	BD	Hearing Transcript from February 12, 1998 at 660-772 (Cordis expert testimony regarding the '417 patent, the Palma-Schatz stent and the prior art). [PORTIONS OF THIS TRANSCRIPT HAVE BEEN REMOVED AS CONFIDENTIAL]				
	BE	Hearing Transcript from February 13, 1998 at 1121-1261 (Guidant expert testimony regarding the alleged obviousness of the '417 patent, the prior art and the Palma-Schatz stent). [PORTIONS OF THIS TRANSCRIPT HAVE BEEN REMOVED AS CONFIDENTIAL]				
	BF	Order by J. Robinson denying Cordis' Motion for a Preliminary Injunction Against ACS dated July 17, 1998				
	BG	ACS, Inc.'s and Guidant Corp.'s Opening Brief in Support of Their Motion for Summary Judgment of Invalidity of U.S. Patent No. 5,102,417 dated August 27, 1998				
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				Filing Date	October 20, 2003
				First Named Inventor	Julio C. Palma
				Group Art Unit	3738
				Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	13	of	33	Attorney Docket No.	02748P0044US

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	BH	Plaintiffs' Answering Brief in Opposition to ACS' and BSC's Motion for Summary Judgment on Obviousness dated September 24, 1998			
	BI	Order dated March 31, 2000			
	BJ	Schatz Deposition Testimony; May 15, 1996: 79-83, 89-92, 105-107 and 153-161			
	BK	Schatz Deposition Testimony; May 16, 1996: 555-564, 569-572			
	BL	Schatz Deposition Testimony; January 18, 1998: 67-73, 108-110			
	BM	Schatz Deposition Testimony; July 14, 1998: 69-77, 108-112, 119-123			
	BN	Schatz Deposition Testimony; July 12, 1999: 88-91, 132-135, 144-149, 218-223, 231-242			
	BO	Schatz Deposition Testimony; July 13, 1999: 251-334, 339-345, 374-416			
	BP	Schatz Deposition Testimony; July 14, 1999: 454-550			
	BQ	Schatz Deposition Testimony; July 15, 1999: 560-614			
	BR	Schatz Deposition Testimony; December 2, 1999: 906-911, 928-942, 945-963, 976-978, 1029-1034, 1038-1042			
	BS	Palma Deposition Testimony, November 5, 1991: 160-172			
	BT	Palma Deposition Testimony, February 5, 1995: 710-727			
	BU	Palma Deposition Testimony, July 16, 1998: 55-56; 81-82			
	BV	Palma Deposition Testimony, July 28, 1999: 560-568, 570-579			
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					Group Art Unit	3738
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	BW	Palmaz Deposition Testimony, July 29, 1999: 778-785				
	BX	Palmaz Deposition Testimony, August 31, 1999: 1403-1452				
	BY	Palmaz Deposition Testimony, September 2, 1999: 1953-1960				
	BZ	Palmaz Deposition Testimony, October 14, 1999: 2201-2209; 2275-2342; 2371-2411				
	CA	Palmaz Deposition Testimony, October 15, 1999: 2424-2497; 2508-2589				
	CB	Palmaz Deposition Testimony, October 16, 1999: 2853-2860				
	CC	Tobor Deposition Testimony, June 17, 1999: 837-958				
	CD	Tobor Deposition Testimony, June 18, 1999: 1095-1184				
	CE	Tobor Deposition Testimony, December 1, 1999: 1217-1371				
	CF	Tobor Deposition Testimony, December 2, 1999: 1398-1414; 1444-1508; 1532-1548				
	CG	Tobor Deposition Testimony, December 3, 1999: 1652-1653; 1662-1672; 1683-1694				
	CH	Kula Deposition Testimony, April 20, 1999: 268-169				
	CI	Kula Deposition Testimony, November 16, 1999: 660-675; 680-694; 7-8-755; 774-821				
	CJ	Kula Deposition Testimony, November 18, 1999; 176-223				
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	CK	Expert Report of Dr. Rodney S. Badger on Behalf of Medtronic AVE, Inc. (January 31, 2000)				
	CL	Expert Report of Dr. Joseph Bonn on Behalf of Medtronic AVE, Inc. (January 31, 2000)				
	CM	Deposition of Dr. Joseph Bonn dated March 14, 2000				
	CN	Rebuttal Expert Report of Nigel Buller, B.Sc., M.B., F.R.C.P. (March 2000)				
	CO	Second Supplemental Rebuttal Expert Report of Nigel Buller, B.Sc., M.B., F.R.C.P. (August 17, 2004)				
	CP	Rebuttal Expert Report of John M. Collins, PH.D. (February 2000)				
	CQ	Expert Report of David C. Cumberland, M.D. (January 24, 2000)				
	CR	Expert Report of John T. Goolkasian (February 2000)				
	CS	Deposition of Richard R. Heuser, M.D. (September 7, 2004)				
	CT	Deposition of Henry R. Piehler (September 10, 2004)				
	CU	Deposition of Ronald J. Solar (March 22, 2000)				
	CV	Deposition of Ronald J. Solar (March 23, 2000)				
	CW	Deposition of Ronald J. Solar (April 12, 2000)				
	CX	Expert Report of Dr. Arina Van Breda on Behalf of Medtronic AVE, Inc. (January 31, 2000)				
Examiner Signature					Date Considered	

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Sheet	16	of	33	Attorney Docket No.	02748P0044US	

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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
	CY	Deposition of Arina Van Breda (March 24, 2000)			
	CZ	Deposition of Arina Van Breda (August 21, 2004)			
	DA	Expert Report of John F. Witherspoon (January 24, 2000)			
	DB	Supplemental Expert Report of John F. Witherspoon (October 27, 2000)			
	DC	Deposition of John F. Witherspoon (March 8, 2000)			
	DD	Palmaž et al., Article: Normal and Stenotic Renal Arteries: Experimental Balloon Expandable Intraluminal Stenting", Radiology, September 1987. (AVE 84)			
	DE	Julio C. Palmaž, Article: "Expandable vascular endoprosthesis." (AVE 132)			
	DF	Duprat et. al., Article: Flexible Balloon-Expandable Stent for Small Vessels" Duprat et. al. Radiology, Vol. 162, pgs. 276-278, 1987. (AVE 134)			
	DG	Coons et. al., Article: "Large-Bore, Long Biliary Endoprosthesis (Biliary Stents) for Improved Drainage," Radiology, Vol. 148, pgs. 89-94, 1983. (AVE 143)			
	DH	Honickman et al., Article: "Malpositioned Biliary Endoprosthesis, Technical Developments And Instrumentation," Vol. 144, No. 2., 1982. (AVE 144)			
	DI	Harries-Jones, et al., Article: "Repositioning of Biliary Endoprosthesis with Gruntzig Balloon Catheters," AJR, Vol. 138, pgs. 771-772, 1982. (AVE 153)			
Examiner Signature					Date Considered

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Substitute for form 1449A/PTO					Application Number	10/689,579
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>					Filing Date	October 20, 2003
					First Named Inventor	Julio C. Palmaz
					Group Art Unit	3738
					Confirmation No.	2120
					Examiner Name	Paul B. Prebilic
Sheet	17	of	33	Attorney Docket No.	02748P0044US	

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	DJ	Charnsangavej et al., Article "Stenosis of the Vena Cava: Preliminary Assessment of Treatment with Expandable Metallic Stents," Radiology, Vol. 161, pgs. 295-298, 1986. (AVE 359)				
	DK	Article "Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications," Radiology, Vol. 158, pgs. 309-312, 1986. (AVE 364)				
	DL	T. Yoshioka, et al., AJR Article: "Self-Expanding Endovascular Graft: An Experimental Study in Dogs", Vol 151, pgs. 673-676, 1988. (AVE 438)				
	DM	Article: "Expandable Intraluminal Vascular Graft: A Feasibility Study," Surgery, Vol. 99, pgs. 199-205, 1986. (AVE 461)				
	DN	Lawrence et al., Article: "Percutaneous Endovascular Graft: Experimental Evaluation." Radiology, Vol. 163, pgs. 357-360, 1987. (AVE 671)				
	DO	Palmaz et al., Article: Expandable Intraluminal Graft: A Preliminary Study, 11/17-22/1985, Radiology, Vol.156, pgs. 73-77, 1985. (AVE 1224)				
	DP	Fallone et al., "Elastic Characteristics of the Self-Expanding Metallic Stents," Investigative Radiology, Vol. 23, pgs. 370-76, 1988. (AVE 1953)				
	DQ	Palmaz Paper Entitled "Research Project Expandable Vascular Endoprosthesis"				
	DR	Rousseau , et al., Publication: "Percutaneous Vascular Stent: Experimental Studies & Preliminary Clinical Results in Peripheral Arterial Diseases," in Inter. Angio, Vol. 6, 153-161, 1987. (AVE 3301)				
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>					Filing Date	October 20, 2003
					First Named Inventor	Julio C. Palmaz
					Group Art Unit	3738
					Confirmation No.	2120
					Examiner Name	Paul B. Prebilic
Sheet	18	of	33	Attorney Docket No.	02748P0044US	

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	DS	Rousseau , et al., Publication: "Self-Expanding Endovascular Prostesis: An Experimental Study," Radiology, Vol.164, pgs. 709-714, 1987. (AVE 3303)					
	DT	Wallace, et al., Article: "Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications," Radiology, Vol. 58, pgs. 309-312, 1986. (DBX 2938)					
	DU	Palmaz et al., Article: "Expandable Intraluminal Graft: A Preliminary Study," Radiology, Vol.156, pgs. 73-77 (DBX 4595)					
	DV	Program for the 12th Annual Course on Diagnostic Angiography and Interventional Radiology 3/23-26/87 sponsored by The Society of Cardiovascular and Interventional Radiology (DBX 6235)					
	DW	Preliminary Motion for Judgment re: Wolff claims 1, 2-8, 10, 15 and 19 (DBX6759)					
	DX	Palmaz Declaration (DBX 7069)					
	DY	Letter from Gaterud to Dr. Palmaz dated July 5, 1988 with attached document entitled: "Segmented, balloon-expandable stents." (DBX 7160)					
	DZ	Duprat et al., Article: "Flexible Balloon-Expandable Stent For Small Vessels," Radiology, Vol. 168, pgs. 276-278, 1987 (PX 82)					
	EA	Drawing Sent to Bodic on March 17, 1986 (PX 374)					
	EB	Letter from Dr. Palmaz to R. Bowman enclosing a model of the flexible coronary graft dated March 17, 1986 (PX 337)					
Examiner Signature					Date Considered		

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					Filing Date October 20, 2003
					First Named Inventor Julio C. Palmaz
					Group Art Unit 3738
					Confirmation No. 2120
					Examiner Name Paul B. Prebilic
Sheet	19	of	33	Attorney Docket No.	02748P0044US

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	EC	Lab Notebook pages dated July 30, 1987 from Rodney Wolff (COR 185596-597) (PX 621A)			
	ED	Palmaz et al., "Expandable Intraluminal Graft: A Preliminary Study Work in Progress, Radiology, Vol. 156, No. 1, pgs. 73-77, 1985. (API 33)			
	EE	Charnsangavej, et al., Article: "Stenosis of The Vena Cava Preliminary Assessment of Treatment with expandable Metallic Stents," Radiology, Vol. 161, No. 2, pgs. 295-298 with attached photographs, 1986. (API 72)			
	EF	J. Palmaz: The Current Status of Vascular Prostheses, published by SCIR in the Twelfth Annual Course on Diagnostic Angiography And Interventional Radiology March 23-26, 1987. (API 73)			
	EG	Amendment in Response to Office Action of October 18, 1998 in re: Application of Julio Palmaz S/N 174,246. (API 152)			
	EH	Article: Wallace, et al., Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications Work In Progress, Radiology, Vol. 158, pp. 309-312 . (API 295)			
	EI	Reply of Senior Party Schatz To Patentee Wolff's Opposition To The Belated Motion For Judgment Of Applicant Schatz With Regard To Wolff Claims 1, 2-8, 10, 11, 13-17, And 19 (COR 186450-455) (API 310)			
	EJ	Brief Of Senior Party Schatz At Final Hearing (API 313)			
	EK	Copy of Letter from Ron Sickles to Ben Tobor dated 2/10/88 (Exhibit 42)			
	EL	Copy of Letter from R.O. Sickles to Mike Tatlow dated 5/12/88 (Exhibit 43)			
Examiner Signature				Date Considered	

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					First Named Inventor	Julio C. Palma
					Group Art Unit	3738
					Confirmation No.	2120
					Examiner Name	Paul B. Prebilic
Sheet	20	of	33	Attorney Docket No.	02748P0044US	

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	EM	Copy of Letter from R. O. Sickles to Richard Schatz dated 6/2/88 (Exhibit 44)				
	EN	Copy of Letter from Richard Schatz to Raimund Erbel dated 6/3/88 (Exhibit 45)				
	EO	Copy of Letter from Richard Schatz to Mike Schuler dated 8/29/91 (Exhibit 48)				
	EP	Minutes of J&J Stent Project Review Meeting dated 1/21/88 (Exhibit 7)				
	ER	Preliminary Motion for Judgment with Regard to Wolff Claims 1, 2-8, 10, 11, 13-17, and 19. (Exhibit 67)				
	ES	Declaration of Richard A Schatz. (Exhibit 75)				
	ET	Belated Motion for Judgement with Regard to Wolff Claims 1, 2-8, 10, 11, 13-17 and 19. (Schatz - Exhibit 77)				
	EU	Letter from Dr. Schatz to Mr. Tobor, dated 6/3/88. (Exhibit 122)				
	EV	Letter from Dr. Schatz to Mr. Romano, dated 11/28/88. (Exhibit 131)				
	EW	Letter from Mr. Sickles to Mr. Tobor, dated 2/10/88 (Exhibit 145)				
	EX	Richard A. Schatz, Article titled: "A View of Vascular Stents" Circulation, Vol 79, No.2, pgs. 445-457, 1989. (Exhibit 194)				
	EY	Senior Party Schatz's reply to Patentee Wolff's Opposition to the Preliminary Motion Of Applicant Schatz for judgment with regard to Wolff Claims 1, 2-8, 10, 11, and 13-17. (Exhibit 69)				
Examiner Signature					Date Considered	

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					Group Art Unit	3738
					Confirmation No.	2120
					Examiner Name	Paul B. Prebilic
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	EZ	Wallace, et al., Article: "Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications <sup>1</sup> Work In Progress," Radiology, Vol. 158, pp. 309-312, 1986. (Exhibit 165)			
	FA	Charnsangavej, et al., Article: "Stenosis of The Vena Cava Preliminary Assessment of Treatment with expandable Metallic Stents," Radiology, Vol. 161, No. 2, pgs. 295-298 with attached photographs, 1986. (Exhibit 167)			
	FB	David D. Lawrence et al., Publication: "Percutaneous Endovascular Graft: Experimental Evaluation <sup>1</sup> , Radiology, pgs. 163, 357-360, 1987. (Exhibit 173)			
	FC	Charles E. Putnam, M.D., Cover and article from "Investigative Radiology", Vol. 23. No. 5, May 1988. (Exhibit 177)			
	FD	Robert N. Berk, Cover and article from "American Journal of Roentology", pgs, 673-676, 1988. (Exhibit 178)			
	FE	Declaration of John S. Kula Under 37 CFR § 1.672. ( Kula - Exhibit 77)			
	FF	Yoshioka et al.; Article: "Self-Expanding Endovascular Graft: An Experimental Study in Dogs" AJR, Vol. 151, pgs. 673-676, 1988. (PX 100)			
	FG	Palmaz, et al., Article: "Expandable Intraluminal Graft: A Preliminary Study Work in Progress <sup>1</sup> , Radiology, Vol. 156, No.1, pgs. 73-77, 1985. (PX 101)			
	FH	Declaration of Richard Schatz Under 37 C.F.R. § 1.672. (PX 106)			
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					Group Art Unit	3738
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	FI	Charnsangavej et al., Article: "Stenosis of the Vena Cave: Preliminary Assessment of Treatment with Expandable Metallic Stents," Radiology, Vol. 161, pgs. 295-298, 1986. (PX 143)					
	FJ	Wallace, et al., Article: "Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications Work in Progress <sup>1</sup> ," Radiology, Vol. 158, pgs. 309-312, 1986. (PX 144)					
	FK	Gina Kolata, News Article: NY Times, "Devices That Opens Clogged Arteries Gets a Falling Grade in a New Study", pgs. 16-18, January 3, 1991. (PX 186)					
	FL	Duprat, et al., Article: "Flexible Balloon- Expanded Stent for Small Vessels Work in Progress <sup>1</sup> ", Radiology, Vol.162, pgs. 276-278, 1987. (PX 207)					
	FM	Letter from Palmaz to Bowman dated March 17, 1986. (PX 350)					
	FN	Memo re: Minutes of Stent Project Review- San Antonia- March 15, 1988. (PX 651)					
	FO	Kuntz, et al., Article: Clinical Cardiology Frontiers: "Defining Coronary Restenosis, Newer Clinical and Angiographic Paradigms", Circulation, Sept. 1993, Vol 88, No. 3, pgs. 1310-1323. (PX 854)					
	FP	Belated Motion for Judgment with regard to Wolff Claims1, 2-8, 10, 11, 13-17, and 19. (PX 1410)					
	FQ	Drawing of Sprial Stent (sent to Bodic 3/17/1986). (PX 2933)					
Examiner Signature					Date Considered		

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	FR	Wright et al., Article: "Percutaneous Endovascular Stents: An Experimental Evaluation" <sup>1</sup> Radiology, Vol. 156, pgs. 69-72, 1985. (PX 3093)				
	FS	Charnsangavej et al., Article: "A New Expandable Metallic Stent for Dilation of Stenotic Tubular Structures: Experimental and Clinical Evaluation," Houston Medical Journal, Vol. 3, pgs. 41-51, 1987. (PX 3207)				
	FT	In re Application of Wiktor, Appln. No. 69,636, Response to Office Action dated 3/17/1988. (PX 3236)				
	FU	Transmittal Letter of Response to First Office Action in '417 patent. (PX 3993)				
	FV	Letter from B. Tobor to R. Schatz dated July 23, 1991. (PX 3996)				
	FW	Mullins et al., Article: "Implantation of balloon-expandable intravascular grafts by catheterization in pulmonary arteries and systemic veins," Circulation, Vol. 77, No. 1, pgs. 188-189, 1988. (PX 4049)				
	FX	Schatz et al., Article: "Intravascular Stents for Angioplasty," Cardio, 1997. (PX 4050)				
	FY	Schatz et al., Article: "New Technology in Angioplasty Balloon-Expandable Intravascular Stents, New Developments in Medicine," Vol. 2, No. 2 pgs. 59-75, 1987. (PX 4051)				
	FZ	Richard A. Schatz, Article: "Introduction to Intravascular Stents," Cardiology Clinics, Vol. 6, No. 3, pgs. 357-372, 1988. (PX 4052)				
	GA	Richard A. Schatz, Article: "A View of Vascular Stents," Circulation, Vol. 79, No. 2, pgs. 445-457, 1989. (PX 4053)				
	GB	Wang et al., Article: "An Update on Coronary Stents," Cardio, pgs. 177-186, 1992. (PX 4054)				
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Sheet	24	of	33	Attorney Docket No.	02748P0044US

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	GC	Richard A. Schatz, Article: "New Technology in Angioplasty: Balloon-Expandable Stents," Medicamundi, Vol 33, No. 3, pgs. 112-116, 1988. (PX 4055)				
	GD	Letter from Tobor to Schatz dated September 29, 1988. (PX 1395)				
	GE	Verified Statement of Facts by Unnamed Inventor R.A. Schatz document filed in U.S. Patent and Trademark Office on September 8, 1989. (PX 3677)				
	GF	Declaration of John S. Kula Under 37 CFR § 1.672 (Exhibit 329)				
	GG	Letter to Mike Schular from R.A. Schatz dated August 29, 1991. (Exhibit 402)				
	GH	Articulated, Balloon - Expandable Stents, (DBX 7159)				
	GI	J. Rösch et al., Experimental Intrahepatic Portacaval Anastomosis: Use of Expandable Gianturco Stents, Radiology, Vol. 162, pgs. 481-485, 1987.				
	GJ	J. Rösch et al., Modified Gianturco Expandable Wire Stents In Experimental and Clinical Use, Ann Radiol, Vol. 31, No. 2, pgs. 100-103, 1987.				
	GK	J. Rösch et al., Gianturco Expandable Stents In the Treatment of Superior Vena Cava Syndrome Recurring After Vena Cava Syndrome Recurring After Maximum-Tolerance Radiation, Cancer, Vol. 60, pps. 1243-1246, 1987				
	GL	J.E. Gordon, Structures or Why Things Don't Fall Down, Penguin Books, pgs. 45-59, 132-148, 210-244, 377-383				
	GM	Maass et al., Radiological Follow-up of Transluminally Inserted Vascular Endoprostheses: An Experimental Study Using Expanding Spirals, Radiology, Vol. 152, pgs. 659-663, 1984.				
	GN	Argument submitted re EP 86115473 dated January 20, 1995. (AVE 2478)				
	GO	Verified Statement of Facts by Julio C. Palmaz dated August 4, 1989. (PX 3662)				
Examiner Signature		Date Considered				

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Substitute for form 1449A/PTO					Application Number	10/689,579
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Filing Date	October 20, 2003
<i>(Use as many sheets as necessary)</i>					First Named Inventor	Julio C. Palma
					Group Art Unit	3738
					Confirmation No.	2120
					Examiner Name	Paul B. Prebilic
Sheet		25	of	33	Attorney Docket No.	02748P0044US

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	GP	Papanicolaou et al., Insertion of a Biliary Endoprosthesis Using A Balloon Dilatation Catheter, Gastrointest Radiology, Vol.10, pgs. 394-396, 1985.				
	GQ	Palma et al., Expandable Intraluminal Vascular Graft: A Feasibility Study, Surgery, Vol. 99, No. 2, pgs. 199-205, 1986.				
	GR	Palma et al., Atherosclerotic Rabbit Aortas: Expandable Intraluminal Grafting, Radiology, Vol. 168, pgs. 724-726, 1986.				
	GS	Palma, The Current Status of Vascular Prostheses; Rösch et al., Gianturco, Expandable Stents in Experimental and Clinical Use, SCIVR, pgs. 118-124, 1987.				
	GT	Rösch et al., Abstract: Modified Gianturco Expandable Wire Stents in Experimental and Clinical Use, CIRSE, Porto Cervo, Sardinia, May 25-29, 1987.				
	GU	Rösch et al., Gianturco Expandable Wire Stents in the Treatment of Superior Vena Cava Syndrome Recurring After Maximum-Tolerance Radiation, Cancer, Vol.60, pgs. 1243-1246, 1987.				
	GV	Mirich et al., Percutaneously Placed Endovascular Grafts for Aortic Aneurysms: Feasibility Study, Radiology, Vol. 170, pgs. 1033-1037, 1989.				
	GW	Dotter, Transluminally-placed Coilspring Endarterial Tube Grafts, Investigative Radiology, Vol. 4, Sept.- Oct., pgs. 329-332, 1969.				
	GX	Palma et al., Abstract: Expandable Intraluminal Graft: A Preliminary Study, Radiology, Vol. 153 (P), November 1983: 70 <sup>th</sup> Scientific Assembly and Annual Meeting				
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				Group Art Unit	3738
				Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
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	GY	Cragg et al., Nonsurgical Placement of Arterial Endoprostheses: A New Technique Using Nitinol Wire, Radiology, Vol. 147, pgs. 261-263, April, 1983.				
	GZ	J. Rösch et al., Gianturco Expandable Stents in Experimental and Clinical Use, Program: "Twelfth Annual Course on Diagnostic Angiography and Interventional Radiology" (Society of Cardiovascular and Interventional Radiology, Pittsburgh, PA), March 23-26, 1987 (the second Monofilament Article).				
	HA	Uchida et al., Modifications of Gianturco Expandable Wire Stents, AJR, Vol. 150, pgs. 1185-87, 1988.				
	HB	Palmaz, Balloon-Expandable Intravascular Stent, AJR, Vol. 1510, pgs. 1263-69.				
	HC	Cordis Corporation v. Advanced Cardiovascular Systems, Inc., Guidant Corporation, Arterial Vascular Engineering, Inc., Boston Scientific Corporation and SCIMED Life Systems, Inc., Plaintiff's Complaint, October 23, 1997 (Case No. 97-550-SLR)				
	HD	Arterial Vascular Engineering, Inc. v. Cordis Corporation, Johnson & Johnson and Expandable-Grafts Partnership, Plaintiff's First Amended Complaint for Declaratory Relief of Patent Validity, Unenforceability, Noninfringement, and for Antitrust Violations, January 27, 1998 (Civil Action No. 97-700)				
	HE	Arterial Vascular Engineering, Inc. v. Cordis Corporation, Johnson & Johnson and Expandable-Grafts Partnership, Cordis Corporation and Johnson & Johnson's Answer and Counterclaim, February 27, 1998 (Civil Action No. 97-700-SLR)				
	HF	Arterial Vascular Engineering, Inc. v. Cordis Corporation, Johnson & Johnson and Expandable-Grafts Partnership, Expandable-Graft Partnership's Answer, February 27, 1998 (Civil Action No. 97-700-SLR)				
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				First Named Inventor	Julio c. Palmaz
				Group Art Unit	3738
				Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
				Sheet	27

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	HG	Arterial Vascular Engineering, Inc. v. Cordis Corporation, Johnson & Johnson and Expandable-Grafts Partnership, Reply of Plaintiff Arterial Vascular Engineering, Inc. To Counterclaims of Defendant Cordis Corporation, March 31, 1998 (Civil Action No. 97-700-SLR)				
	HH	Arterial Vascular Engineering, Inc. v. Cordis Corporation, Johnson & Johnson and Expandable-Grafts Partnership, Reply of Plaintiff Arterial Vascular Engineering, Inc. To Counterclaims of Defendant Expandable Grafts Partnership, March 31, 1998 (Civil Action No. 97-700-SLR)				
	HI	Cordis Corporation v. Advanced Cardiovascular Systems, Inc. and Guidant Corporation, Cordis Corporation's Motion for a Preliminary Injunction, October 8, 1997 (Civil Action No. 97-550)				
	HJ	Cordis Corporation v. Advanced Cardiovascular Systems, Inc., Guidant Corporation Arterial Vascular Engineering, Inc., Boston Scientific Corporation and SCIMED, Inc., Cordis's Motion for Preliminary Injunction Against Arterial Vascular Engineering, Inc., December 29, 1997 (Case No. 97-550-SLR)				
	HK	Deposition of R. Schatz, M.D. in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on January 8, 1998 (Civil Action No. 97-550 SLR)				
	HL	Deposition of Lee P. Bendel in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on January 22, 1998 (Civil Action No. 97-550 SLR)				
	HM	Deposition of Julio Cesar Palmaz in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on December 29, 1997 (Civil Action No. 97-550 SLR)				
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				First Named Inventor	Julio c. PalmaZ
				Group Art Unit	3738
				Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
				Sheet	28

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	HN	Deposition of Richard A. Bowman in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on January 9, 1998 (Civil Action No. 97-550 SLR)				
	HO	Deposition of Gary Schneiderman in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on January 16, 1998 (Civil Action No. 97-550 SLR)				
	HP	Deposition of David Pearle, M.D. in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on July 10, 1998 (Civil Action No. 97-550 SLR)				
	HQ	Preliminary Injunction hearing testimony taken on February 9-13, 1998 (Civil Action No. 97-550 SLR)				
	HR	Cordis Corporation v. Advanced Cardiovascular Systems, Inc., et al., (Civil Action No. 97-550 SLR) and Cordis Corporation v. Advanced Cardiovascular Systems, Inc. Et al. (Civil Action No. 98-65-SLR), Opening Post Hearing Brief of Plaintiff Cordis Corporation in Support of Motion for Preliminary Injunction, March 6, 1998 (Portions relevant to patent claim construction and patent validity issues)				
	HS	Cordis Corporation and Expandable Grafts Partnership v. Advanced Cardiovascular Systems, Inc. et al., Post-Hearing Reply Brief of Plaintiff Cordis Corporation in Support of Its Motion for Preliminary Injunction, April 10, 1998 (Case No. 97-550 SLR) (Portions relevant to patent validity issues)				
	HT	Cordis Corporation and Expandable Grafts Partnership v. Advanced Cardiovascular Systems, Inc. et al., Plaintiff's Motion for a Preliminary Injunction Against Boston Scientific Corporation and SCIMED Life Systems, Inc. And Memorandum in Support, April 13, 1998 (Case No. 97-550-SLR)				
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	HU	Cordis Corporation and Expandable Grafts Partnership v. Advanced Cardiovascular Systems, Inc., et al., Judge Robinson's Order Denying Plaintiff's Motion for a Preliminary Injunction, July 17, 1998 (Civil Action No. 97-550 SLR)				
	HV	Cordis Corporation and Expandable Grafts Partnership v. Advanced Cardiovascular Systems, Inc., et al., Defendant Boston Scientific Corporation and SCIMED Life Systems, Inc.'s Motion for Summary Judgment of Invalidity of U.S. Patent No. 5,102,417, August 27, 1998 (Civil Action No. 97-550- SLR)				
	HW	Boston Scientific Limited, et al. v. Expandable Grafts Partnership, Plaintiffs' Statement of Claim, March 13, 1997 (UK Action No. 1493)				
	HX	Boston Scientific Limited, et al. v. Expandable Grafts Partnership, Defendant's Amended Defense and Counterclaim, August 14, 1997 (UK Action No. 1493)				
	HY	Boston Scientific Limited, et al. v. Expandable Grafts Partnership, Petition for Revocation, March 13, 1997 (UK Action No. 1497)				
	HZ	Boston Scientific Limited, et al. v. Expandable Grafts Partnership, Particulars of Objections, March 13, 1997 (UK Action No. 1497)				
	IA	Boston Scientific Limited, et al. v. Expandable Grafts Partnership and Boston Scientific Limited et al., v. Julio C. Palma, Boston's Skeleton Argument (UK Action Nos. 1493, 1495, 1496, and 1497)				
	IB	Boston Scientific Limited, et al. v. Julio C. Palma and Expandable Grafts Partnership, Skeleton Argument of Palma/EGP, March 19, 1998 (UK Action Nos. 1493, 1495, 1496 and 1497)				
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	IC	Boston Scientific Limited, et al. v. Julio C. Palma and Expandable Grafts Partnership, EGP's Final Submissions, April 2, 1998 (UK Action Nos. 1493, 1495, 1496 and 1497)				
	ID	Boston Scientific Limited, et al. v. Julio C. Palma and Expandable Grafts Partnership, Judgment, June 26, 1998 (UK Action Nos. 1493, 1495, 1496 and 1497)				
	IE	Rösch, Modified Gianturco Expandable Wire Stents in Experimental and Clinical Use, CIRSE 1987 Presentation: <u>see</u> Witness Statement of Josef Rösch from U.K. Proceeding				
	IF	Statement of Claim by Boston Scientific et al. against Expandable Grafts Partnership et al., in EPG et al., v. Boston Scientific et al. in Netherlands (March 13, 1997)				
	IG	Motion for Joinder of Actions, Change of Claim and Statement of Claim filed by Expandable Grafts Partnership et al. in EPG et al. v. Boston Scientific et al. In Netherlands (April 22, 1997)				
	IH	Opinion of K.J. Metman filed in EPG et al. v. Boston Scientific et al. in Netherlands (August 29, 1997)				
	II	Expert report of Dr. Nigel Buller in EPG et al. v. Boston Scientific et al. in Netherlands (August 28, 1997)				
	IJ	Expert report of Lee P. Bendel in EPG et al. v. Boston Scientific et al. in Netherlands (August 28, 1997)				
	IK	Memorandum of Oral Pleading in EPG et al. v. Boston Scientific et al. in Netherlands (September 12, 1997)				
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	IL	Plea Notes of P.A.M. in EPG et al. v. Boston Scientific et al. in Netherlands (March 10, 1998)			
	IM	Decision of Court of Appeals in EPG et al. v. Boston Scientific et al. in Netherlands (April 23, 1998)			
	IN	Translation of Nullity Action Against EPO 0 364 787 by Biotronik in Germany			
	IO	Translation of Nullity Action Against EPO 0 335 341 by Biotronik in Germany			
	IP	Translation of EPG Response to Nullity Action Against EP 0 364 787 by Biotronik in Germany			
	IQ	Translation of EPG Response to Nullity Action EP 0 335 341 by Biotronik in Germany			
	IR	Nullity Suit Against EP-B1-0 335 341 Brought by Boston Scientific in Germany			
	IS	Translation of Opposition filed by Terumo Corp. Against Japan Patent No. 2680901			
	IT	Translation of Decision on Opposition Against Japan Patent No. 2680901			
	IU	Memorandum Order of the Court dated September 7, 2000, concerning disputed claim construction			
	IV	Translation of Judgment in Nullity Action Against EP 0 364 787 by Biotronik in Germany			
	IW	Translation of Judgment in Nullity Action Against EP 0 335 341 by Biotronik in Germany			
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				Examiner Name	Paul B. Prebilic
Sheet	32	of	33	Attorney Docket No.	02748P0044US

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T <sup>2</sup>
	IX	Trial transcript from March 17, 2005 at 171-172, 191-192				
	IY	Trial transcript from March 18, 2005 at 282-285, 325-327, 349-351				
	IZ	Trial transcript from March 21, 2005 at 721-726				
	JA	Trial transcript from March 24, 2005 at 1387				
	JB	Cordis Corporation v. Medtronic Ave., Inc., et al., PROCEEDINGS, CA No. 97550 (SLR), etc., dated July 26, 2001				
	JC	BSC's Opening Brief in Support of Its Motion for Judgment as a Matter of Law or, in the Alternative, for a New Trial				
	JD	Cordis' Answering Brief in Opposition to BSC's Motion for JMOL or a New Trial on the Palma '762 Patent and the Schatz '332 Patents				
	JE	BSC's Reply Brief in Support of Its Motion for Judgment as a Matter of Law or, in the Alternative, for a New Trial				
	JF	J. Rösch et al., Abstract, Expandable Gianturco-Type Wire Stents in Experimental Intrahepatic Portacaval Shunts, Program: "72nd Scientific Assembly and Annual Meeting of the Radiological Society of North America", November 30 - December 5, 1986, Radiology, Vol. 161, pgs. 40-41, 1986.				
	JG	Cordis Corporation v. Boston Scientific, Order Dated March 27, 2006 (97-550-SLR)				
	JH	Cordis Corporation v. Boston Scientific, Judgment in a Civil Case Dated March 27, 2006 (97-550-SLR)				
	JI	Cordis Corporation v. Boston Scientific, Memorandum Opinion Dated March 27, 2006 (97-550-SLR)				
Examiner Signature				Date Considered		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets as necessary)</i></p>				<p>Application Number</p> <p>10/689,579</p>
				<p>Filing Date</p> <p>October 20, 2003</p>
				<p>First Named Inventor</p> <p>Julio C. Palma</p>
				<p>Group Art Unit</p> <p>3738</p>
				<p>Confirmation No.</p> <p>2120</p>
				<p>Examiner Name</p> <p>Paul B. Prebilic</p>
<p>Sheet</p>	<p>33</p>	<p>of</p>	<p>33</p>	<p>Attorney Docket No.</p> <p>02748P0044US</p>

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